

# Counting sheaves on curves

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# Contents

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- 1** Overview
- 2 Moduli of sheaves
- 3 Homological invariants
- 4 The regularized sum
- 5 Main result
- 6 A taste of the proof
- 7 Future directions

# Overview

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## The setup.

- $X$  – a smooth projective curve over  $\mathbb{C}$ , i.e. a compact Riemann surface.
- $\mathcal{M}$  – moduli stack of **coherent sheaves** on  $X$ . (Roughly, moduli space of holomorphic vector bundles.)

## In physics.

- $\mathcal{M}$  is, roughly, the moduli space of vacua in 2d **Yang–Mills theory** on  $X$ , with gauge group  $SU(n)$ .
- The **partition function** of the theory provides rich geometric information about  $\mathcal{M}$ . (Witten 1992)

# Overview

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## Question.

- Study the **geometry** of  $\mathcal{M}$  – homology, intersection pairings, volume, ...



- Homological **counting invariants** of  $\mathcal{M}$  – roughly, the fundamental class “ $[\mathcal{M}]_{\text{fund}}$ ”.

## Problems.

- $\mathcal{M}$  can be **non-Hausdorff**.
- $\mathcal{M}$  can be **singular**.

# Overview

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## Classical approach.

- Use **geometric invariant theory** to remove non-Hausdorff points. This gives a nice-behaving **semistable locus**

$$\mathcal{M}^{\text{ss}} \subset \mathcal{M},$$

without losing much information.

- When  $r$  and  $d$  are **coprime**, the connected component

$$\mathcal{M}_{(r,d)}^{\text{ss}} \subset \mathcal{M}^{\text{ss}}$$

consisting of **rank  $r$ , degree  $d$**  vector bundles is **smooth**.

# Overview

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## Previous work.

- Witten (1992) gave **physical predictions** of intersection pairings

$$\int_{\mathcal{M}_{(r,d)}^{\text{SS}}} \alpha \cdot \beta$$

when  $r$  and  $d$  are coprime, for  $\alpha, \beta \in H^*(\mathcal{M}_{(r,d)}^{\text{SS}}; \mathbb{Q})$ .

- Jeffrey–Kirwan (1998) proved Witten’s formulae, using equivariant localization.

# Overview

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## New approach.

- Joyce (2021) defined invariants  $[\mathcal{M}_\alpha^{\text{ss}}]_{\text{inv}} \in H_*(\mathcal{M}; \mathbb{Q})$  in a very general setting, using **stable pairs**. Here  $\alpha = (r, d)$ .
- When  $r$  and  $d$  are coprime,  $[\mathcal{M}_{(r,d)}^{\text{ss}}]_{\text{inv}} = [\mathcal{M}_{(r,d)}^{\text{ss}}]_{\text{fund}}$ .
- Joyce proved **wall-crossing formulae** for his invariants, expressed in terms of a **vertex algebra** structure on  $H_*(\mathcal{M}; \mathbb{Q})$ .
- Using this machinery, I obtained explicit formulae for the classes  $[\mathcal{M}_{(r,d)}^{\text{ss}}]_{\text{inv}}$ , in terms of a **regularized sum** – a divergent infinite sum.

# Overview

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## Main Theorem.

$$[\mathcal{M}_{(r,d)}^{\text{ss}}]_{\text{inv}} = \sum_{\substack{(d_1, \dots, d_r) \in \text{some lattice} \\ d_1 + \dots + d_r = d}} (\text{coeff.}) \cdot$$

$$\left[ \left[ \dots \left[ [\mathcal{M}_{(1,d_1)}^{\text{ss}}]_{\text{fund}}, [\mathcal{M}_{(1,d_2)}^{\text{ss}}]_{\text{fund}}, \dots \right], [\mathcal{M}_{(1,d_r)}^{\text{ss}}]_{\text{fund}} \right].$$

## Remarks.

- $\mathcal{M}_{(1,d_i)}^{\text{ss}} \simeq J(X)$ , the Jacobian variety of  $X$ , which is a torus  $T^{2g}$ .
- The Lie brackets come from Joyce's vertex algebra.



# Overview

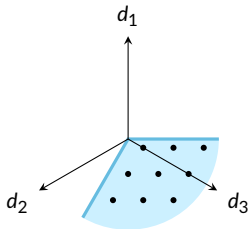
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## Examples.

- When  $g = 2$ , the volume  $\text{vol}(\mathcal{M}_{(2,1)}^{\text{ss,fd}})$  is

$$1 + 3 + 5 + 7 + \dots = \frac{1}{12}.$$

- When  $(r, d) = (3, 1)$ , we are summing over this lattice:



# Overview

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## Remarks.

- When  $r$  and  $d$  are coprime, my formulae recover classical results on intersection pairings on  $\mathcal{M}_{(r,d)}^{ss}$ .
- Witten expressed his formulae as a **convergent** sum over a lattice, which is related to my lattice by something similar to a Fourier transform.
- When  $r$  and  $d$  are not coprime, I believe my results produce physically expected numbers. (The case  $r = 2$  is in the literature.)

# Contents

---

- 1 Overview
- 2 Moduli of sheaves**
- 3 Homological invariants
- 4 The regularized sum
- 5 Main result
- 6 A taste of the proof
- 7 Future directions

# Moduli of sheaves

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## Automorphism groups.

- Moduli stacks care about automorphism groups of objects.
- For  $E \in \text{Coh}(X)$ , we always get the **scalar automorphisms**

$$\mathbb{G}_m \simeq \{\lambda \cdot \text{id} \mid \lambda \in \mathbb{C}^\times\} \subset \text{Aut}(E).$$

- We can **remove** them to get something closer to the classical moduli space. In other words, we consider

$$\text{Aut}^{\text{pl}}(E) = \text{Aut}(E)/\mathbb{G}_m,$$

where pl = projective linear.

# Moduli of sheaves

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## Variants of moduli stacks.

- $\mathcal{M}$  – moduli stack of coherent sheaves on  $X$ .
- $\mathcal{M}^{\text{pl}} = \mathcal{M}/[*/\mathbb{G}_m]$ , the stack  $\mathcal{M}$  with scalar automorphisms removed.
- $\mathcal{M}_{(r,d)}^{\text{ss}} \subset \mathcal{M}^{\text{pl}}$  consists of **semistable** coherent sheaves of rank  $r$ , degree  $d$ .

When  $r > 0$ , all semistable sheaves are **vector bundles**.

- $\mathcal{M}_{(r,d)}^{\text{ss,fd}} \subset \mathcal{M}_{(r,d)}^{\text{ss}}$  consists of sheaves with a fixed (chosen) determinant line bundle (when  $r > 0$ ).

# Moduli of sheaves

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## Homology.

- The rational cohomology of  $\mathcal{M}$  is a **free** supercommutative polynomial algebra:

$$H^*(\mathcal{M}; \mathbb{Q}) \simeq \mathbb{Q}[S_{\alpha;j,k,\ell}],$$

with  $\alpha \in K(X)$ ,  $1 \leq j \leq b^k(X)$  (the  $k$ -th Betti number of  $X$ ), and  $\ell > k/2$ , with  $\deg S_{\alpha;j,k,\ell} = 2\ell - k$ .

- By duality, we can define an isomorphism of vector spaces

$$H_*(\mathcal{M}; \mathbb{Q}) \simeq \mathbb{Q}[s_{\alpha;j,k,\ell}],$$

with  $\deg s_{\alpha;j,k,\ell} = 2\ell - k$ .

# Moduli of sheaves

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## Vertex algebras.

- Joyce proved that the graded vector space

$$H_*(\mathcal{M}; \mathbb{Q}) \simeq \mathbb{Q}[s_{\alpha;j,k,\ell}]$$

has the structure of a **vertex algebra**.

- This is very surprising. Vertex algebras are very complicated algebraic structures, arising from **2d conformal field theory** in physics. But this structure exists in a very general setting, which seems to have nothing to do with two dimensions.

# Moduli of sheaves

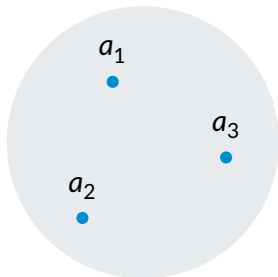
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associative algebra



$$a_1 \cdot a_2 \cdot a_3$$

vertex algebra



$$a_1(z_1) \cdot a_2(z_2) \cdot a_3(z_3)$$



## Moduli of sheaves

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- In a vertex algebra  $\mathcal{V}$ , elements  $a \in \mathcal{V}$  are thought of as fields  $a(z)$  on the formal disk, which are meromorphic and operator-valued:

$$a \in \mathcal{V} \implies a(z) \in \text{End}(\mathcal{V})[[z, z^{-1}]]$$

- The product  $a(z)b$  is often denoted by

$$Y(a, z)b = \sum_n a_n(b) \cdot z^{-n-1} \in \mathcal{V}[[z]][z^{-1}],$$

where  $a_n(b) \in \mathcal{V}$ .

# Moduli of sheaves

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- Taking the residue

$$[a, b] = a_0(b) = \oint_0 a(z) b dz$$

associates a **Lie algebra** to every vertex algebra, which is a quotient of the vertex algebra.

- In our setting,

$$\begin{array}{ccc} \text{vertex algebra} & \implies & \text{Lie algebra} \\ H_*(\mathcal{M}; \mathbb{Q}) & & H_*(\mathcal{M}^{\text{pl}}; \mathbb{Q}) \end{array}$$

# Contents

---

- 1 Overview
- 2 Moduli of sheaves
- 3 Homological invariants**
- 4 The regularized sum
- 5 Main result
- 6 A taste of the proof
- 7 Future directions

# Homological invariants

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## Pairs.

Fix, once and for all, a line bundle  $L \rightarrow X$ . We often take  $L = \mathcal{O}(-N)$  for  $N \gg 0$ .

- A **pair** is a map

$$\rho: L \otimes V \rightarrow E,$$

with  $V$  a vector space and  $E \in \text{Coh}(X)$ .

- We are mostly concerned with the case  $V = \mathbb{C}$ . Then a pair is just a sheaf  $E$  with a section of  $E \otimes L^{-1}$ .

# Homological invariants

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## Moduli of pairs.

- $\mathcal{M}_{(r,d),e}$  – moduli stack of pairs, where the sheaf  $E$  is of class  $(r, d)$  and the vector space  $V$  is of dimension  $e$ .
- $\mathcal{M}_{(r,d),e}^{\text{pl}} = \mathcal{M}_{(r,d),e} / [*/\mathbb{G}_m]$ , with scalar automorphisms removed.
- $\mathcal{M}_{(r,d),e}^{\text{ss}} \subset \mathcal{M}_{(r,d),e}^{\text{pl}}$  – the semistable locus, for a certain stability condition.
- $\mathcal{M}_{(r,d),1}^{\text{ss}}$  is well-behaved, since stable = semistable. We have the Behrend–Fantechi [virtual fundamental class](#)

$$[\mathcal{M}_{(r,d),1}^{\text{ss}}]_{\text{virt}} \in H_*(\mathcal{M}^{\text{pl}}; \mathbb{Z}).$$

# Homological invariants

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## Joyce's invariants.

- The forgetful map

$$\Pi : \mathcal{M}_{(r,d),1}^{\text{pl}} \longrightarrow \mathcal{M}_{(r,d)}^{\text{pl}}$$

sending a pair to its underlying sheaf, looks like a **projective bundle**. So we can define the invariants as a “**virtual pushforward**”

$$[\mathcal{M}_{(r,d)}^{\text{ss}}]_{\text{inv}} = \Pi_! [\mathcal{M}_{(r,d),1}^{\text{ss}}]_{\text{virt}} \in H_*(\mathcal{M}^{\text{pl}}; \mathbb{Q}).$$

- Recall that  $H_*(\mathcal{M}^{\text{pl}}; \mathbb{Q})$  has a Lie algebra structure, inherited from the vertex algebra structure on  $H_*(\mathcal{M}; \mathbb{Q})$ .

# Homological invariants

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## Wall-crossing.

There are different interesting **stability conditions** for pairs on curves. Their invariants are related by **wall-crossing formulae**. For example, one of them reads

$$[\mathcal{M}_{(r,d),1}^{\text{ss}}]_{\text{virt}} = \sum_{\substack{(r,d) = (r_1,d_1) + \dots + (r_m,d_m), \\ m \geq 1, r_i > 0, d_i/r_i = d/r \text{ for all } i}} (\text{coeff.}) \cdot \\ \left[ \left[ \dots \left[ \left[ e^{((0,0),1)}, [\mathcal{M}_{(r_1,d_1)}^{\text{ss}}]_{\text{inv}} \right], [\mathcal{M}_{(r_2,d_2)}^{\text{ss}}]_{\text{inv}} \right], \dots \right], [\mathcal{M}_{(r_m,d_m)}^{\text{ss}}]_{\text{inv}} \right].$$

This particular one is an analogue of what is known as **DT-PT wall-crossing**.

# Contents

---

- 1 Overview
- 2 Moduli of sheaves
- 3 Homological invariants
- 4 The regularized sum**
- 5 Main result
- 6 A taste of the proof
- 7 Future directions



# The regularized sum

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## Idea.

For any expression  $a$  (e.g.  $a = 2$ ), define

$$\sum_{n=0}^{\infty} a^n = \frac{1}{1-a}.$$

## Example.

$$\sum_{n=0}^{\infty} \frac{e^{nz}}{z^2} = \frac{1}{z^2(1-e^z)}$$

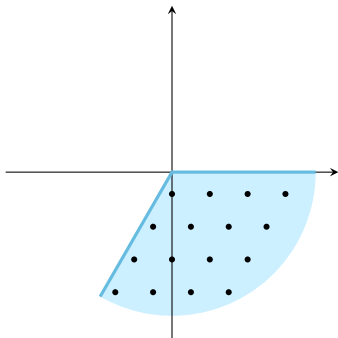
$$\Downarrow \text{res}_{z=0}$$

$$1 + 2 + 3 + 4 + \dots = -\frac{1}{12}.$$

## The regularized sum

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In general, the regularized sum is defined for geometric series on a [lattice](#), such as this one:



# The regularized sum

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## Definition.

The definition is best given visually:

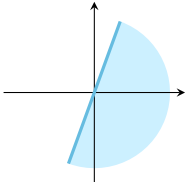
$$\sum \text{ (diagram of a grid of points in a sector) } = \frac{1}{(1-t)(1-u)}.$$

# The regularized sum

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## Important property.

Half-planes are always zero:

$$\Sigma \left( \text{Diagram of a shaded half-plane} \right) = 0.$$


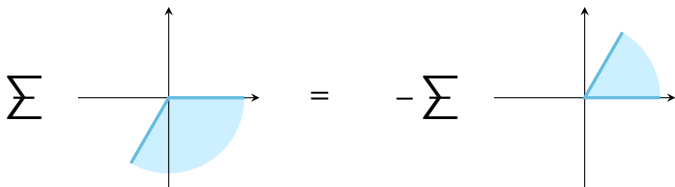
Reason:

$$\dots + t^{-2} + t^{-1} + 1 + t + t^2 + \dots = \frac{t^{-1}}{1 - t^{-1}} + \frac{1}{1 - t} = 0.$$

## The regularized sum

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This gives us relations such as:



These relations define a quotient space of the vector space spanned by **polyhedral cones**, where some very interesting combinatorics takes place.

# Contents

---

- 1 Overview
- 2 Moduli of sheaves
- 3 Homological invariants
- 4 The regularized sum
- 5 Main result**
- 6 A taste of the proof
- 7 Future directions

# Main result

## Main Theorem.

For any  $(r, d) \in \mathbb{Z}_{>0} \times \mathbb{Z}$ , we have

$$[\mathcal{M}_{(r,d)}^{\text{ss}}]_{\text{inv}} = \sum_{\substack{d = d_1 + \dots + d_r \ (d_i \in \mathbb{Z}) \\ \frac{d_1 + \dots + d_i}{i} \leq \frac{d}{r}, \ i = 1, \dots, r-1}} \frac{1}{m+1}.$$

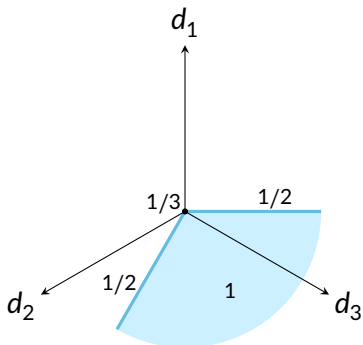
$$\left[ \left[ \dots \left[ [\mathcal{M}_{(1,d_1)}^{\text{ss}}]_{\text{fund}}, [\mathcal{M}_{(1,d_2)}^{\text{ss}}]_{\text{fund}}, \dots \right], [\mathcal{M}_{(1,d_r)}^{\text{ss}}]_{\text{fund}} \right], \right]$$

where  $m$  is the number of equalities in the summation condition.

## Main result

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When  $r = 3$ , the summation region is shown below, in the plane  $d_1 + d_2 + d_3 = d$ . The small numbers indicate the coefficients.





# Main result

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## Remarks.

- When  $r$  and  $d$  are coprime, no integral points lie on the boundary of the region, so all coefficients are 1.

This case corresponds to classical results of Witten and Jeffrey–Kirwan.

- The fixed determinant invariants  $[\mathcal{M}_{(r,d)}^{\text{ss,fd}}]_{\text{inv}}$  can be easily obtained from  $[\mathcal{M}_{(r,d)}^{\text{ss}}]_{\text{inv}}$ .
- One can write down explicit formulae (very long) for the invariants  $[\mathcal{M}_{(r,d)}^{\text{ss}}]_{\text{inv}}$  and  $[\mathcal{M}_{(r,d)}^{\text{ss,fd}}]_{\text{inv}}$ , in terms of the generators  $s_{j,k,\ell} \in H_*(\mathcal{M}^{\text{pl}}; \mathbb{Q})$ .

# Main result

## The formula.

$$[\mathcal{M}_{(r,d)}^{ss}]_{\text{inv}} = \text{res}_{z_{r-1}} \circ \dots \circ \text{res}_{z_1} \left\{ \frac{(-1)^{(g-1)r(r-1)/2+(r-1)(d-1)}}{r \cdot \prod_{0 \leq i < j \leq r-1} (z_i - z_j)^{2g-2}} \cdot \right.$$

$$\sum_{\substack{0 \leq m \leq \gcd(r,d) - 1 \\ 1 \leq i_1 < \dots < i_m \leq r-1 \\ \text{such that } i_k d/r \in \mathbb{Z} \text{ for all } k}} \frac{(-1)^m}{m+1} \cdot \frac{1}{\prod_{\substack{1 \leq i \leq r-1 \\ i \neq i_k \text{ for any } k}} \left[ 1 - \exp\left( \sum_{\ell=1}^{\infty} \frac{\tilde{z}_i^\ell - \tilde{z}_{i-1}^\ell}{\ell!} s_{1,2,\ell+1} \right) \right]} \cdot$$

$$\prod_{i=0}^{r-1} \left[ \exp\left( \tilde{z}_i \left( s_{1,0,1} + \left( \left\lfloor \frac{(i+1)d}{r} \right\rfloor - \left\lfloor \frac{id}{r} \right\rfloor \right) s_{1,2,2} + \sum_{j,k,\ell} s_{j,k,\ell+1} \frac{\partial}{\partial s_{j,k,\ell}} \right) \right) \cdot \right.$$

$$\left. \prod_{j=1}^g (s_{j,1,1} s_{j+g,1,1} - s_{1,2,2}) \right] \Big|_{z_0=0}$$

where  $\tilde{z}_i = z_i - (z_0 + \dots + z_{r-1})/r$ .

# Main result

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## Examples.

- If we compute the volume  $\text{vol}(\mathcal{M}_{(2,1)}^{\text{ss,fd}})$ , when  $g = 1$ , we get

$$0 + 0 + 0 + 0 + \dots = 1.$$

When  $g = 2$ , we get

$$1 + 3 + 5 + 7 + \dots = \frac{1}{12}.$$

- When  $g = 1$ , the fixed determinant moduli stack has virtual dimension 0, and

$$[\mathcal{M}_{(r,d)}^{\text{ss,fd}}]_{\text{inv}} = (-1)^{(r-1)(d-1)}.$$

# Contents

---

- 1 Overview
- 2 Moduli of sheaves
- 3 Homological invariants
- 4 The regularized sum
- 5 Main result
- 6 A taste of the proof**
- 7 Future directions

# A taste of the proof

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The proof is by **induction on rank**.

## Ingredient 1.

Wall-crossing between two stability conditions for pairs gives

$$[\mathcal{M}_{(r,d),1}^{\text{ss}}]_{\text{virt}} = \sum_{\substack{(r,d) = (r_0,d_0) + \dots + (r_m,d_m), \\ m \geq 1, r_i > 0 \text{ for all } i, \\ \text{such that } d_0/r_0 < d_1/r_1 \leq \dots \leq d_m/r_m}} (\text{coeff.}) \cdot \\ \left[ \left[ \dots \left[ [\mathcal{M}_{(r_0,d_0),1}^{\text{ss}}]_{\text{virt}}, [\mathcal{M}_{(r_1,d_1)}^{\text{ss}}]_{\text{inv}} \right], \dots \right], [\mathcal{M}_{(r_m,d_m)}^{\text{ss}}]_{\text{inv}} \right].$$

This is a **finite** sum. The r.h.s. only involves invariants of rank  $< r$ .

# A taste of the proof

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## Ingredient 1 (continued).

Assume that the main theorem is true for rank  $< r$ . Plugging in the regularized sum expression, we obtain

$$[\mathcal{M}_{(r,d),1}^{ss}]_{\text{virt}} = \sum_{(d_1, \dots, d_r) \in \Lambda} (\text{coeff.}) \cdot \left[ \left[ \dots \left[ [\mathcal{M}_{(1,d_1),1}^{ss}]_{\text{virt}}, [\mathcal{M}_{(1,d_2)}^{ss}]_{\text{fund}} \right], \dots \right], [\mathcal{M}_{(1,d_r)}^{ss}]_{\text{fund}} \right].$$

This effectively **computes** all the pair invariants, as the rank 1 invariants are simple.

# A taste of the proof

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## Ingredient 2.

The analogue of DT-PT wall-crossing gives

$$[\mathcal{M}_{(r,d),1}^{ss}]_{\text{virt}} = \sum_{\substack{(r,d) = (r_1,d_1) + \dots + (r_m,d_m), \\ m \geq 1, r_i > 0, d_i/r_i = d/r \text{ for all } i}} (\text{coeff.}) \cdot \\ \left[ \left[ \dots \left[ \left[ e^{((0,0),1)}, [\mathcal{M}_{(r_1,d_1)}^{ss}]_{\text{inv}} \right], [\mathcal{M}_{(r_2,d_2)}^{ss}]_{\text{inv}} \right], \dots \right], [\mathcal{M}_{(r_m,d_m)}^{ss}]_{\text{inv}} \right].$$

where the sum is a **finite** sum.

The l.h.s. is known. The only unknown on the r.h.s. is  $[\mathcal{M}_{(r,d)}^{ss}]_{\text{inv}}$ . It remains to check that the expression for  $[\mathcal{M}_{(r,d)}^{ss}]_{\text{inv}}$  is consistent with this wall-crossing.

# A taste of the proof

## Ingredient 3.

What we are left to do is pure combinatorics. In rank 3, this is illustrated below.

$$\begin{aligned} & \text{Diagram 1} - \text{Diagram 2} - \text{Diagram 3} + \text{Diagram 4} \\ = & \text{Diagram 5} + \text{Diagram 6} + \text{Diagram 7} + \text{Diagram 8} \\ = & 3 \cdot \text{Diagram 9} \end{aligned}$$



# Contents

---

- 1 Overview
- 2 Moduli of sheaves
- 3 Homological invariants
- 4 The regularized sum
- 5 Main result
- 6 A taste of the proof
- 7 Future directions**

## Future directions

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- Find a geometric or physical interpretation of the regularized sum formula.
- Generalization to principal bundles.
- Generalization to higher dimensional varieties (Related: Joyce's ongoing project on surfaces).
- Categorification of the regularized sum.

**Thank you!**